

GlaxoSmithKline Pharmaceuticals Ltd.

GSK House,

Dr. Annie Besant Road, Worli,

Mumbai - 400 030

Tel No: +022 2495 9595 Fax No: +022 2495 9494 Web: www.gsk-india.com Email: askus@gsk.com

8th January 2020

To,

**BSE LIMITED** 

THE NATIONAL STOCK EXCHANGE OF INDIA LIMITED

Phiroze Jeejeebhoy Towers Dalal Street Mumbai - 400001 Exchange Plaza, 5<sup>th</sup> Floor, Plot No. C/1, G Block Bandra-Kurla Complex, Bandra (East)

Mumbai - 400051

Dear Sir,

## Statement of investor complaints for quarter ended 31st December 2019

Pursuant to Regulation 13(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find below the statement of investor complaints of GlaxoSmithKline Pharmaceuticals Limited for the quarter ended 31st December 2019.

| Particulars  | No of complaints |
|--|------------------|
| Complaints pending as on 1st October, 2019                   | 01               |
| Complaints received during 1st October to 31st December 2019 | 28               |
| Complaints resolved during 1st October to 31st December 2019 | 28               |
| Complaints pending as on 31st December 2019                  | 01               |

Thanking you,

Yours faithfully

For GlaxoSmithKline Pharmaceuticals Limited

Ajay Nadkarni

Vice President – Administration, Real Estate

& Company Secretary



KFIN/GXP/DEC/2019 January 7, 2020

Mr. Ajay Nadkarni M/s. GlaxoSmithKline Pharmaceuticals Limited Dr. Annie Besant Road Worli Mumbai-400025.

Sub: Investor Grievance Certificate for the quarter ended December 31, 2019.

Dear Sir,

With reference to the above, we are pleased to furnish below the status of the Investor complaints under SEBI (Listing Obligations & Disclosure requirements), Regulations, 2015 for the quarter ended December 31, 2019.

| Description of     | Opening .     | Received during the | Attended/Resolved | Pending as on |
|--------------------|---------------|---------------------|-------------------|---------------|
| Complaint          | Balance as on | Period 01.10.2019   | during the Period | 31.12.2019    |
|                    | 01.10.2019    | to 31.12.2019       | 01.10.2019 to     |               |
|                    |               |                     | 31.12.2019        |               |
| Non-receipt of     | NIL           | 13                  | 13                | NIL           |
| Dividend           |               |                     | -                 |               |
| Non-receipt of     | NIL           | NIL                 | NIL               | NIL           |
| Annual reports     |               |                     |                   |               |
| Non receipt of     | NIL           | 04                  | 04                | NIL           |
| share certificates |               |                     |                   |               |
| SEBI               | NIL           | 9                   | 8                 | 01            |
| Stock Exchange     | 01            | 02                  | 03                | NIL           |
| Total              | 01            | 28                  | 28                | 01            |
|                    |               |                     |                   |               |

This is for your information and record.

Thanking you,

Yours faithfully

For KFin Technologies Private Limited

Asst. General Manager

## KFin Technologies Private Limited