

### General information about company

Scrip code	500660
NSE Symbol	GLAXO
MSEI Symbol	NOTLISTED
ISIN	INE159A01016
Name of the company	GLAXOSMITHKLINE Pharmacetiuals Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2020
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

### Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	4	127054524			127054524	75	127054524		127054524	75
(B)	Public	108384	42351510			42351510	25	42351510		42351510	25
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	<b>Total</b>	<b>108388</b>	<b>169406034</b>			<b>169406034</b>	<b>100</b>	<b>169406034</b>		<b>169406034</b>	<b>100</b>

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				75					127054524
(B)	Public				25					39987457
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					167041981

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(2)	Foreign										
(e)	Any Other (specify)	4	127054524			127054524	75	127054524		127054524	75
Sub-Total (A)(2)		4	127054524			127054524	75	127054524		127054524	75
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		4	127054524			127054524	75	127054524		127054524	75
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	29	7806965			7806965	4.61	7806965		7806965	4.61
(e)	Foreign Portfolio Investors	40	508861			508861	0.3	508861		508861	0.3
(f)	Financial Institutions/ Banks	42	11450770			11450770	6.76	11450770		11450770	6.76
(i)	Any Other (specify)	3	450			450	0	450		450	0
Sub-Total (B)(1)		114	19767046			19767046	11.67	19767046		19767046	11.67
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	102685	18296945			18296945	10.8	18296945		18296945	10.8
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	10	293825			293825	0.17	293825		293825	0.17

(b)	NBFCs registered with RBI	9	58877			58877	0.03	58877		58877	0.03
(e)	Any Other (specify)	5566	3934817			3934817	2.32	3934817		3934817	2.32
Sub-Total (B)(3)		108270	22584464			22584464	13.33	22584464		22584464	13.33
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		108384	42351510			42351510	25	42351510		42351510	25
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		108388	169406034			169406034	100	169406034		169406034	100
Total (A+B+C)		108388	169406034			169406034	100	169406034		169406034	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(2)	Foreign								
(e)				75					127054524
Sub-Total (A) (2)				75					127054524
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				75					127054524
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				4.61					7785955
(e)				0.3					508861
(f)				6.76					11422720
(i)				0					50
Sub-Total (B) (1)				11.67					19717586
( 3 )	Non-institutions								
(a(i))				10.8					16018172
(a(ii))				0.17					293825
(b)				0.03					58877
(e)				2.32					3898997
Sub-Total (B) (3)				13.33					20269871
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				25					39987457
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					167041981
Total (A+B+C )				100					167041981

Any Other (specify)					
Serial No.	1	2	3	4	
Category	Overseas Corporate Bodies	Overseas Corporate Bodies	Overseas Corporate Bodies	Overseas Corporate Bodies	
Name of the Shareholders (I)	GLAXO GROUP LIMITED	ESKAYLAB LIMITED	BURROUGHS WELLCOME INTERNATIONAL LIMITED	GLAXOSMITHKLINE PTE LIMITED	<a href="#">Click here to go back</a>
PAN (II)	AAACG1317M	AABCE2477R	AACCB2743C	AAECG4321P	Total
No. of the Shareholders (I)	1	1	1	1	4
No. of fully paid up equity shares held (IV)	60970500	11760000	6720000	47604024	127054524
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	60970500	11760000	6720000	47604024	127054524
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	35.99	6.94	3.97	28.1	75
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	60970500	11760000	6720000	47604024	127054524
Class eg:y					
Total	60970500	11760000	6720000	47604024	127054524
Total as a % of Total Voting rights	35.99	6.94	3.97	28.1	75
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible					



securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	35.99	6.94	3.97	28.1	75
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					0
Number of Shares pledged or otherwise encumbered (XIII)					
No. (a)					
As a % of total Shares held (b)					0
Number of equity shares held in dematerialized form (XIV)	60970500	11760000	6720000	47604024	127054524
Reason for not providing PAN					
Reason for not providing PAN					
Shareholder type					

## Mutual Funds

Serial No.	1	
Name of the Shareholders (I)	ADITYA BIRLA SUN LIFE TRUSTEE PRIVATE LIMITED A/C ADITYA BIRLA SUN LIFE TAX RELIEF 96	<a href="#">Click here to go back</a>
PAN (II)	AAATB0102C	Total
No. of fully paid up equity shares held (IV)	5779171	5779171
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	5779171	5779171
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.41	3.41
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	5779171	5779171
Class eg:y		
Total	5779171	5779171
Total as a % of Total Voting rights	3.41	3.41
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.41	3.41
<b>Number of Locked in shares (XII)</b>		

No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	5779171	5779171
Reason for not providing PAN		
Reason for not providing PAN		

Financial Institutions/ Banks			
Serial No.	1	2	
Name of the Shareholders (I)	GENERAL INSURANCE CORPORATION OF INDIA	LIFE INSURANCE CORPORATION OF INDIA	<a href="#">Click here to go back</a>
PAN (II)	AAACG0615N	AAACL0582H	Total
No. of fully paid up equity shares held (IV)	1970593	9350453	11321046
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1970593	9350453	11321046
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.16	5.52	6.68
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	1970593	9350453	11321046
Class eg:y			
Total	1970593	9350453	11321046
Total as a % of Total Voting rights	1.16	5.52	6.68
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.16	5.52	6.68
Number of Locked in shares (XII)			

No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	1970593	9350453	11321046
Reason for not providing PAN			
Reason for not providing PAN			

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Others	Trusts	Non-Resident Indian (NRI)	Clearing Members	Others	Bodies Corporate	IEPF
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)	NON RESIDENT INDIAN REPATRIABLE				NON RESIDENT INDIAN NON REPATRIABLE		
PAN (II)							
No. of the Shareholders (I)	8	17	1190	113	1460	862	1
No. of fully paid up equity shares held (IV)	2848	29112	170094	27373	552995	1015920	802670
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2848	29112	170094	27373	552995	1015920	802670
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0.02	0.1	0.02	0.33	0.6	0.47
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	2848	29112	170094	27373	552995	1015920	802670
Class eg:y							
Total	2848	29112	170094	27373	552995	1015920	802670
Total as a % of Total Voting rights	0	0.02	0.1	0.02	0.33	0.6	0.47
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of							

convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	0.02	0.1	0.02	0.33	0.6	0.47
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	0	29112	170094	27373	546971	988972	802670
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)				
Serial No.	8	9	10	
Category	HUF	Foreign Nationals	Others	
Category / More than 1 percentage	Category	Category	Category	
Name of the Shareholders (I)			Qualified Institutional Buyer	<a href="#">Click here to go back</a>
PAN (II)				Total
No. of the Shareholders (I)	1905	3	7	5566
No. of fully paid up equity shares held (IV)	362979	284	970542	3934817
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	362979	284	970542	3934817
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.21	0	0.57	2.32
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	362979	284	970542	3934817
Class eg:y				
Total	362979	284	970542	3934817
Total as a % of Total Voting rights	0.21	0	0.57	2.32
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a				



percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.21	0	0.57	2.32
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	362979	284	970542	3898997
Reason for not providing PAN				
Reason for not providing PAN				

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect\*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence