

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L24239MH1924PLC001151

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACG4414B

(ii) (a) Name of the company

GLAXOSMITHKLINE PHARMAC

(b) Registered office address

DR. ANNIE BESANT ROADMUMBAI.
MUMBAI
Maharashtra
400025
India

(c) *e-mail ID of the company

ajay.a.nadkarni@gsk.com

(d) *Telephone number with STD code

02224959595

(e) Website

www.gsk-india.com

(iii) Date of Incorporation

13/11/1924

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes

No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes

No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	Bombay Stock Exchange	1
2	National Stock Exchange	1,024

(b) CIN of the Registrar and Transfer Agent

U72400TG2017PTC117649

Pre-fill

Name of the Registrar and Transfer Agent

KFIN TECHNOLOGIES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

Selenium, Tower B, Plot No- 31 & 32,
Financial District, Nanakramguda, Serilingampally

(vii) *Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM 27/07/2021

(b) Due date of AGM 30/09/2021

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C6	Chemical and chemical products, pharmaceuticals, medicinal chemical and	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 1

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	BIDDLE SAWYER LIMITED	U51900MH1948PLC006218	Subsidiary	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL**(a) Equity share capital**

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	180,000,000	169,415,420	169,406,034	169,406,034
Total amount of equity shares (in Rupees)	1,800,000,000	1,694,154,200	1,694,060,340	1,694,060,340

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity shares				
Number of equity shares	180,000,000	169,415,420	169,406,034	169,406,034
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	1,800,000,000	1,694,154,200	1,694,060,340	1,694,060,340

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	2,364,053	167,041,981	169406034	1,694,060,3	1,694,060,	

Increase during the year	0	137,407	137407	0	0	0
i. Pubic Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify		137,407	137407			
Increase in demat						
Decrease during the year	137,407	0	137407	0	0	0
i. Buy-back of shares	0	0	0	0	0	
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify	137,407		137407			
reduction in Physical						
At the end of the year	2,226,646	167,179,388	169406034	1,694,060,3	1,694,060,	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0

ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

INE159A01016

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	27/07/2020		
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	

Ledger Folio of Transferor				<input type="text"/>			
Transferor's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>			
Transferee's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	

Date of registration of transfer (Date Month Year)				<input type="text"/>			
Type of transfer		<input type="text"/>		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock			
Number of Shares/ Debentures/ Units Transferred		<input type="text"/>		Amount per Share/ Debenture/Unit (in Rs.)		<input type="text"/>	
Ledger Folio of Transferor				<input type="text"/>			
Transferor's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>			
Transferee's Name		<input type="text"/>		<input type="text"/>		<input type="text"/>	
		Surname		middle name		first name	

(iv) * Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0

Particulars	Number of units	Nominal value per unit	Total value
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

31,937,363,000

(ii) Net worth of the Company

15,179,348,000

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	

3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others Oversees Body Corporate	127,054,524	75	0	
	Total	127,054,524	75	0	0

Total number of shareholders (promoters)

4

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	18,667,249	11.02	0	
	(ii) Non-resident Indian (NRI)	181,376	0.11	0	
	(iii) Foreign national (other than NRI)	284	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	2,438,967	1.44	0	
6.	Foreign institutional investors	2,686,470	1.59	0	
7.	Mutual funds	6,560,265	3.87	0	

8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	572,452	0.34	0	
10.	Others QIB IEPF	11,244,447	6.64	0	
	Total	42,351,510	25.01	0	0

Total number of shareholders (other than promoters)

119,948

**Total number of shareholders (Promoters+Public/
Other than promoters)**

119,952

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

45

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
COUPLAND CARDIFF F	33 SIR JOHN ROGERSONS QUAY Ir		IR	148,489	0.09
K INDIA OPPORTUNITI	C/o Cim Fund Services Ltd 33 Edit		MA	56,152	0.03
EMERGING MARKETS C	6300 Bee Cave Road Building One		US	48,509	0.03
THE EMERGING MARK	6300 Bee Cave Road Building One		US	34,009	0.02
PREMIER INVESTMENT	C/o DTOS Ltd 10th FLOOR RAFFLI		MA	26,113	0.02
EW INDIA OPPORTUNI	C/o CIM Fund Services 33 Edith Ca		MA	19,335	0.02
WISDOMTREE INDIA IN	C/O CIM GLOBAL BUSINESS 33 ED		MA	18,115	0.02
PARAMETRIC EMERGIN	2 INTERNATIONAL PLACE BOSTON		US	17,360	0.02
PARAMETRIC TAX-MA	TWO INTERNATIONAL PLACE BOS		US	16,000	0.01
THE SULTANATE OF O	'PO BOX 79 RUWI PC 122		OM	15,690	0.01
THE EMERGING MARK	6300 Bee Cave Road Building One		US	12,699	0.01
WELLS FARGO BANK D	CITIBANK N.A. CUSTODY SERVICES		IN	10,198	0.01
EXCEL FUNDS MAURIT	IIFS Court Twenty Eight Cybercity I		MA	10,000	0.01
EMERGING MARKETS C	20 Triton Street Regents Place Lor		GB	9,507	0.01
CITY OF NEW YORK G	ONE CENTRE STREET NEW YORK M		US	6,000	0
PEOPLE'S BANK OF CH	NO 32 CHENGFANG STREET XICHE		CH	5,218	0
BLACKROCK AQUILA E	12 THROGMORTON AVENUE LONI		GB	5,058	0

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
THE REGENTS OF THE	1111 BROADWAY SUITE 2100 OAK		US	5,016	0
WORLD EX U.S. CORE I	6300 Bee Cave Road Building One		MA	4,376	0
K INDIA OPPORTUNITI	C/o Cim Fund Services Ltd 33 Edit		US	3,866	0
T.A. WORLD EX U.S. CC	6300 Bee Cave Road Building One		MA	3,559	0
K INDIA OPPORTUNITI	C/o Cim Fund Services Ltd 33 Edit		US	3,485	0
UBS (US) GROUP TRUS	ONE NORTH WACKER DRIVE CHIC.		MA	3,334	0
ACM INDIA FOCUS FU	BOWEN SQUARE 10 DR FERRIERE S		US	2,810	0
WELLS FARGO FACTO	525 MARKET STREET SAN FRANCIS		MA	2,768	0

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	4	4
Members (other than promoters)	113,059	119,948
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	1	1	1	1	0	0
B. Non-Promoter	2	5	1	7	0	0
(i) Non-Independent	2	1	1	2	0	0
(ii) Independent	0	4	0	5	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0

(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	3	6	2	8	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

12

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
R. S. Karnad	00008064	Director	600	
N Kaviratne	00032473	Director	0	
D Sundaram	00016304	Director	0	
P V Bhide	03304262	Director	0	
A N Roy	01361110	Director	0	
S MAHESHWARI	01641411	Director	0	
M Dawson	09032378	Additional director	0	
S Venkatesh	07263117	Managing Director	0	
S williams	07786724	Director	0	
P Thakur	07971789	Whole-time director	0	
P Thakur	ACOPT5750A	CFO	0	
A Nadkarni	AAAPN4414B	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

8

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
S Venkatesh	07263117	Director	01/04/2020	Appointment
S MAHESHWARI	01641411	Additional director	18/05/2020	Appointment
S MAHESHWARI	01641411	Director	27/07/2020	Change in Designation
P Thakur	07971789	Whole-time director	01/01/2021	Re-appointment
R Krishnaswamy	03043004	Whole-time director	27/01/2021	Cessation
M Dawson	01641411	Additional director	28/01/2021	Appointment
S Venkatesh	07263117	Managing Director	01/04/2020	Change in Designation
A Vaidheesh	01444303	Managing Director	01/04/2020	Cessation

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	27/07/2020	116,863	94	75.01

B. BOARD MEETINGS

*Number of meetings held

8

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	28/04/2020	9	9	100
2	18/05/2020	10	10	100
3	29/07/2020	10	10	100
4	28/10/2020	10	10	100
5	29/10/2020	10	10	100

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
6	07/01/2021	10	10	100
7	05/02/2021	10	10	100
8	30/03/2021	10	10	100

C. COMMITTEE MEETINGS

Number of meetings held

12

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	18/05/2020	4	4	100
2	Audit Committee	29/07/2020	4	4	100
3	Audit Committee	28/10/2020	4	4	100
4	Audit Committee	23/11/2020	4	4	100
5	Audit Committee	05/02/2021	4	4	100
6	Nomination & Remuneration	18/05/2020	3	3	100
7	Nomination & Remuneration	28/10/2020	3	3	100
8	Nomination & Remuneration	07/01/2021	3	3	100
9	Nomination & Remuneration	05/02/2021	3	3	100
10	STAKEHOLDER MEETING	05/02/2021	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	27/07/2021
								(Y/N/NA)
1	R. S. Karnad	8	8	100	11	11	100	Yes
2	N Kaviratne	8	8	100	10	10	100	Yes
3	D Sundaram	8	8	100	10	10	100	Yes

4	P V Bhide	8	8	100	7	7	100	Yes
5	A N Roy	8	8	100	1	1	100	Yes
6	S MAHESHW,	7	7	100	1	1	100	Yes
7	M Dawson	2	2	100	0	0	0	Yes
8	S Venkatesh	8	8	100	2	2	100	Yes
9	S williams	8	8	100	0	0	0	Yes
10	P Thakur	8	8	100	0	0	0	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	S Venkatesh	Managing Direct	75,144,000	7,002,000	0	0	82,146,000
2	P.Thakur	Whole-time Dire	13,546,000	6,918,000	0	15,088,000	35,552,000
3	R. krishnaswamy	Whole-time Dire	9,683,000	5,583,000	0	40,208,000	55,474,000
	Total		98,373,000	19,503,000	0	55,296,000	173,172,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	A. Nadkarni	Company Secre	9,166,000	1,225,000	0	1,176,993	11,567,993
	Total		9,166,000	1,225,000	0	1,176,993	11,567,993

Number of other directors whose remuneration details to be entered

6

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	R. S. Karnad	Director	0	2,000,000	0	1,100,000	3,100,000
2	P. V. Bhide	Director	0	1,500,000	0	750,000	2,250,000
3	N. Kaviratne	Director	0	1,500,000	0	900,000	2,400,000
4	AN ROy	Director	0	1,500,000	0	650,000	2,150,000
5	D. Sundaram	Director	0	1,500,000	0	1,050,000	2,550,000

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
6	S.Maheshwari	Director	0	1,306,000	0	450,000	1,756,000
	Total		0	9,306,000	0	4,900,000	14,206,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Parikh & Associates

Whether associate or fellow

Associate Fellow

Certificate of practice number

1228

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. .. dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director Digitally signed by PUJA THAKUR Date: 2021.09.01 11:12:47 +05'30'

DIN of the director

To be digitally signed by Digitally signed by AJAY AVINASH NADKARNI Date: 2021.09.01 11:13:26 +05'30'

- Company Secretary
- Company secretary in practice

Membership number Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachement(s), if any

List of attachments

fii-fpi - Copy.pdf
 meeting.pdf
 MGT-8 Certificate.pdf
trf.pdf

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

