

(I)(a) Statement showing Shareholding Pattern in Clause 35 - As on 30.09.2014

Name of the Company : GlaxoSmithKline Phramaceuticals Limited
Scrip Code : BSE - 500660 NSE - GLAXO, Scrip Name : GLAXOSMITH, Class of Security : Equity
Quarter Ended: 30th September, 2014

Partly Paid-up Shares :-	Number of partly paid-up shares	As a % of total number of partly paid-up shares	As a % of total number of shares of the Company
Held by promoter/promoters group			
Held by public			
Total			
Outstanding convertible securities :	Number of outstanding securities	As a % of total number of outstanding convertible securities	As a % of total number of shares of the Company, assuming full conversion of the convertible securities
Held by promoter/promoters group			
Held by public			
Total			
Warrants :	Number of warrants	As a % of total number of warrants	As a % of total number of shares of the Company, assuming full conversion of warrants
Held by promoter/promoters group			
Held by public			
Total			
Total paid-up capital of the Company assuming full conversion of warrants and convertible securities	84703017		100



Category code	Category of Shareholder	No. of shareholders	Total number of shares	Number of shares held in dematerialized	Total shareholding as a percentage of total number of shares		Share Pledged or otherwise encumbered	
					As a % of (A+B) ¹ (VI)	As a % of (A+B+C) (VII)	Number of shares (VIII)	As a % (IX) = (VIII)/(IV)*100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	Shareholding of Promoter and Promoter Group ²							
(1)	Indian							
(a)	Individuals/ Hindu Undivided Family	0	0	0	0.00	0.00	-	-
(b)	Central Government / State Government (s)	0	0	0	0.00	0.00	-	-
(c)	Bodies Corporate	0	0	0	0.00	0.00	-	-
(d)	Financial Institutions / Banks	0	0	0	0.00	0.00	-	-
(e)	Any Other (specify)	0	0	0	0.00	0.00	-	-
	Sub-Total (A)(1)	0	0	0	0.00	0.00	-	-
(2)	Foreign							
(a)	Individuals (Non-Resident)							
(b)	Individuals/ Foreign Individuals	0	0	0	0.00	0.00	-	-
(c)	Bodies Corporate	5	63527262	63527262	75.00	75.00	-	-
(d)	Institutions	0	0	0	0.00	0.00	-	-
(e)	Qualified Foreign Investors	0	0	0	0.00	0.00	-	-
	Sub-Total (A)(2)	5	63527262	63527262	75.00	75.00	-	-
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	5	63527262	63527262	75.00	75.00	-	-
(B)	Public Shareholding ³						NA	NA
(1)	Institutions						NA	NA
(a)	Mutual Funds / UTI	48	662107	650871	0.78	0.78		
(b)	Financial Institutions / Banks	89	7400553	7384106	8.74	8.74		
(c)	Central Government / State Government (s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	0	0	0	0.00	0.00		
(f)	Foreign Institutional Investors	67	1737074	1735032	2.05	2.05		
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00		
(h)	Qualified Foreign Investors	0	0	0	0.00	0.00		
(i)	Any Other (specify)	0	0	0	0.00	0.00		
	Sub-Total (B)(1)	202	9799734	9770009	11.57	11.57		
(2)	Non-Institutions						NA	NA
(a)	Bodies Corporate	1091	569575	551336	0.67	0.67		
(b)	Individuals - (i) Individual shareholders holding nominal share capital up to Rs.1 lakh.	95921	10378606	8033263	12.25	12.25		
(c)	(ii) Individual shareholders holding nominal share capital in excess of Rs.1 lakh	13	179041	179041	0.21	0.21		
(d)	Qualified Foreign Investors	0	0	0	0.00	0.00		
(e)	Any Other (specify)	0	0	0	0.00	0.00		
	- Directors & Relatives	6	2395	1563	0.00	0.00		
	- Trusts	23	17737	17737	0.02	0.02		
	- Foreign Bodies Corporate	1	294	0	0.00	0.00		
	- Non-resident Indians	1317	203827	189746	0.24	0.24		
	- Clearing Members	207	24086	24086	0.03	0.03		
	Sub-Total (B)(2)	98570	11376021	8997372	13.43	13.43		
	Total Public Shareholding (B)=(B)(1)+(B)(2)	98781	21175755	18767381	25.00	25.00		
	TOTAL (A)+(B)	98786	84703017	82294643	100.00	100.00		
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0	0	0	0	0		
1	Promoter and Promoter Group	0	0	0	0	0		
2	Public	0	0	0	0	0		
	GRAND TOTAL (A)+(B)+(C)	98786	84703017	82294643	100.00	100.00		



(IXb) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr No.	Name of the shareholder	Details of Shares held		Encumbered Shares			Details of Warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % diluted share capital (XII)
		Number of Shares held	As a % of grand total (A)+(B)+(C)	No. of Shares held	As a % of grand total (VI)+(VII)+(X)	As a % of grand total (A)+(B)+(C) of sub-clause (IXa)	No. of warrants held	As a % of total number of warrants of the same class	No. of convertible securities held	As a % of total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	GLAXO GROUP LIMITED	32183260	35.99	-	-	-	-	-	-	-	35.99
2	GLAXOSMITHKLINE PTE LIMITED	20609774	24.33	-	-	-	-	-	-	-	24.33
3	ESKAYLAB LIMITED	6880000	6.94	-	-	-	-	-	-	-	6.94
4	BURROUGHS WELLCOME INTERNATIONAL LIMITED	3360000	3.97	-	-	-	-	-	-	-	3.97
5	CASTLETON INVESTMENT LIMITED	3182238	3.77	-	-	-	-	-	-	-	3.77
	TOTAL	63527262	75.00	-	-	-	-	-	-	-	75.00

(IXc)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% total number of shares

Sr No.	Name of the shareholder	No. of Shares held	Shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) Indicated in statement at para (IXa) above)	Details of Warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % diluted share capital
				No. of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	LIFE INSURANCE CORPORATION OF INDIA	4891406	5.77	-	-	-	-	5.77
2	GENERAL INSURANCE CORPORATION OF INDIA	1000226	1.18	-	-	-	-	1.18
		5891631	6.96	-	-	-	-	6.96

(IXc)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 6% total number of shares

Sr No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	No. of Shares	Shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) Indicated in statement at para (IXa) above)	Details of Warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % diluted share capital
				No. of warrants	As a % of total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	LIFE INSURANCE CORPORATION OF INDIA	4891406	5.77	-	-	-	-	5.77
		4891406	5.77	-	-	-	-	5.77

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(U)(d) Statement showing details of locked-in shares

Sl No.	Name of the shareholder	No. of locked in shares	Locked-in shares as a percentage of total no. of shares i.e., Grand Total (A)+(B)+(C) Indicated in Statement at para (U)(a) above	Promoters / Promoter Group / Public
	- NA -			
	TOTAL			

(U)(e) Statement showing details of Depository Receipts (DRs)

Sl No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	No. of outstanding DRs	No. of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total no. of shares i.e., Grand Total (A)+(B)+(C) Indicated in Statement at para (U)(a) above
	- NA -			
	TOTAL			

(U)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by promoter/ promoter group are in excess of 1% of the total number of shares

Sl No.	Name of the DR Holder	Type of outstanding DR's (ADRs, GDRs, SDRs, etc.,	No. of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total no. of shares i.e., Grand Total (A)+(B)+(C) Indicated in Statement at para (U)(a) above
	- NA -			
	TOTAL			



(III)(a) Statement showing the Voting Pattern of shareholders, if more than one class of class of shares/securities is issued by the issuer.

Category	Category of Shareholder	Number of Voting Rights held in each class of Securities			Total Voting Rights (III+IV+V) (VI)	Total Voting Rights i.e. (VI)	
		Class- Equity (III)	(IV)	(V)		As a % of (A+B) (VII)	As a % (A+B+C) VIII
(A)	Shareholding of Promoter and Promoter Group ²						
(1)	Indian						
(a)	Individuals/ Hindu Undivided Family						
(b)	Central Government / State Government (s)						
(c)	Bodies Corporate						
(d)	Financial Institutions / Banks						
(e)	Any Other (specify)						
	Sub-Total (A)(1)						
(2)	Foreign						
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)						
(b)	Bodies Corporate						
(c)	Institutions						
(c)	Any Other (specify)						
	Sub-Total (A)(2)						
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)						
(B)	Public Shareholding						
(1)	Institutions						
(a)	Mutual Funds / UTI						
(b)	Financial Institutions / Banks						
(c)	Central Government / State						
(d)	Venture Capital Funds						
(e)	Insurance Companies						
(f)	Foreign Institutional Investors						
(g)	Foreign Venture Capital Investors						
(h)	Any Other (specify)						
	Sub-Total (B)(1)						
(2)	Non-Institutions						
(a)	Bodies Corporate						
(b)	Individuals (i) Individual shareholders holding nominal share capital up to Rs.1 lakh.						
	(ii) Individual shareholders holding nominal share capital in excess of Rs.1 lakh						
(c)	Any Other (specify)						
	Sub-Total (B)(2)						
	Total Public Shareholding (B)=(B)(1)+(B)(2)						
	TOTAL (A)+(B)						
(C)	Shares held by Custodians and against which Depository Receipts have been issued						
	GRAND TOTAL (A)+(B)+(C)						

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